Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **30-NOV-17** 

of Mk. Dosslani's Securities (Pvt) Ltd

Submission Date 15-DEC-2017 17:08:09

	Submission Date 15-DEC-2017 17.06.09		Page	1 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	4,947,742	4,947,742	
1.2	Intangible Assets			
1.3	Investment in Govt. Securities Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	<ul> <li>ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.</li> <li>iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.</li> </ul>			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	19,469,068	2,920,360	16,548,708
	respective securities whichever is higher. ii. If unlisted, 100% of carrying value.	10,302,410	10,302,410	
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money		,002,110	
	provided that shares have not been alloted or are not included in the investments of securities broker. IV.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	4,235,000	4,235,000	
-	or any other entity.	.,200,000	1,200,000	
1.9 1.10	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.			
1.10	Other deposits and prepayments			
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables. Amounts receivable against Repo financing.			
1.14	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables	9.707.697	9,707,697	
1.16	Receivables from clearing house or securities exchange(s)	0,101,001	0,101,001	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract, iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	1,220,117	0	1,220,117
	iv. Balance sheet value	20 727 400	17 051 011	17 051 011
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	20,727,128	17,854,214	17,854,214
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	2,758	0	
	ii. Bank balance-customer accounts iii. Cash in hand	5,154,797 32,438	0	
L		02,700	0	52,430

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **30-NOV-17** 

of Mk. Dosslani's Securities (Pvt) Ltd

Submission Date 15-DEC-2017 17:08:09

D. No.         Each of 2 Account:         Yes to see the address of 2 Account:         Yes to see the addres of 2 Account:         Yes to see the addre		Submission Date 13-DEC-2017 17.06.04		Page	2 Of 3
2         Index Psycholes         0	S.No.	Head of Account			-
21       Index Payables       Internet         11       Payable against leveraged market products       5.885.342       0         23       Current Liabilities       5.885.342       0         24       Current Liabilities       5.885.342       0         25       Current Liabilities       5.885.342       0         26       Current Liabilities       5.885.342       0         27       Current Liabilities       5.885.342       0         28       Current Liabilities       7.09.862       7.09.863         29       Current Liabilities       7.09.862       7.09.863         20       Current Liabilities       7.09.862       7.09.862         21       Non-Current Liabilities       7.09.862       7.09.862         22       Non-Current Liabilities       7.09.862       7.09.862         23       Non-Current Liabilities       7.09.862       7.09.862         24       Non-Current Liabilities       7.09.862       7.09.862         25       Current Liabilities       7.09.862       7.09.862         26       Non-Current Liabilities       7.09.862       7.09.862         27       Non-Current Liabilities       7.09.862       7.09.862	1.19	Total Assets	75,799,155	49,967,423	40,813,032
In Prysible is exchanges and clearing house         1           In Prysible is calculated.         5.855.342         0           21         Listantice meets products.         5.855.342         0           23         Listantice meets products.         7.855.342         0           24         Listantice meets products.         7.955.342         0           25         Listantice meets products.         7.955.342         0           26         Listantice meets products.         7.955.342         0           26         Listantice meets products.         2.011.852         0         7.955.342           27         Listantice meets products.         2.011.852         0         7.955.342         0         7.955.342           28         Mon-Current Listallities.         1         1         0         1         0         1         0         1         0         1         0         1         0         1	2	Liabilities			
E. Producto agrints theorematic model products         0           22         Current Labilities         0           23         Current Labilities         0           24         Current Labilities         0           25         Current Labilities         0           26         Current Labilities         0           27         Current Labilities         0           28         Current Labilities         0           29         Current Labilities         0           20         Current Labilities         0           20         Product Labilities         0           21         Non-Current Labilities         0           22         Non-Current Labilities         0           23         Non-Current Labilities         0           24         Non-Current Labilities         0           25         Current Labilities         0           26         Current Labilities         0           27         Non-Current Labilities         0           26         Current Labilities         0           27         Non-Current Labilities         0           28         Current Labilities         0           29         <	2.1				
Image: Projectic in constraints     0     0.686.342       22     Current Libbilities     200.000       13     Description     200.000       14     Description     200.000       15     Description     200.000       16     Description     200.000       16     Description     200.000       16     Description     200.000       17     Description     200.000       18     Description     200.000       16     Description     200.000       17     Description     200.000       18     Description     200.000       19     Description     200.000       10     Description     200.000       11     Description     200.000       12     Description     Description       13     Description     Description       14     Description     Description       15     Description     Description       16     Description     Description       16     Description     Description <td></td> <td></td> <td></td> <td></td> <td></td>					
12       Current Liabilities       700.002       710.002         13       Accuask and other payeties       700.002       0       710.002         14       Current Looping       2011.680       0       2.001.680       0       2.001.680       0       2.001.680       0       2.001.680       0       2.001.680       0       2.001.680       0       2.001.680       0       2.001.680       0       2.001.680       0       2.001.680       0       2.001.680       0       2.001.680       0       2.001.680       0       2.001.680       0       2.001.680       0       2.001.680       0       2.001.680       0       2.001.680       0			5.855.342	0	5.855.342
I. Accurace and other parables         750.882         0         750.882         0         2,001.89         0 <td< td=""><td>2.2</td><td>Current Liabilities</td><td>- , , -</td><td></td><td>- , , -</td></td<>	2.2	Current Liabilities	- , , -		- , , -
II. Strot-term browing:         2.031,883         0         0         2.031,883         0         2.031,883         0         2.031,883         0         2.031,883         0         2.031,883         0         2.031,883         0         2.031,883         0         2.031,883         0         2.031,883         0         2.031,883         0         2.031,883         0         2.031,883         0         2.031,883         0 <td></td> <td></td> <td></td> <td></td> <td></td>					
b. Consent potion of addedinated baras					
V. Current portion of long term liabilities     V. Directed Labilities     V. Directed Labilities			2,031,095	0	2,031,093
viii. Rovision for tasad debi.         iii. Other labilities         iii.           21         Non-Current Labilities         iii.           1. Long - Farm financing         iii.         iii.           21         Non-Current Labilities         iii.           1. Soft - Farm financing         iii.         iii.         iii.           1. Soft - Farm financing         iii.         iii.         iii.         iii.           1. Bodd of Decore of the compare finance insee         iii.         iii.         iii.         iii.           2. Releave finance of the compare finance insee of capital         iiii.         iiii.         iiii.         iiii.           2. Releave finance of the compare finance insee of capital.         iiiii.         iiiiiiiiiii.         iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii					
will Providen for travaition					
23       Non-Current Liabilities       Image: Second Secon					
Long-Jerm Sinancing         Image: Second Secon					
a. Long-Term financing obtained from financial institution: Long term portion of financing obtained from a financial institution including amount due against finance lease.	2.3				
a financial institution including amount due against finance leave					
b. Other ion-retem financing					
III. Advance against shares for increase in Capital of Securities troker. 100% halicut may be allowed in respect of advance against shares bere obtained         Image: Capital advance against shares bere obtained           a. The existing authorized share capital allows the proposed enhanced share capital         Image: Capital advance against shares bere obtained           c. Berevant Regulatory approvals have been obtained         Image: Capital advance is against the increase of capital           c. Auditor is satisfied that such advance is against the increase of capital.         Image: Capital advance is against the increase of capital.           c. Auditor is satisfied that such advance is against the increase of capital.         Image: Capital advance is against share bere obtained           c. Auditor is satisfied that such advance is against the increase of capital.         Image: Capital advance is against for the comparison of the capital advance is against for the comparison of the capital advance is against for the comparison of the capital advance advance is against for the comparison of the capital advance advance is against for the comparison of the capital advance is against for the comparison of the capital advance advance is against for the capital advance advance is against for the capital advance advance advance is against for the capital advance advance is against for the capital advance advanc		b. Other long-term financing			
expect of advance against shares if:         a. The existing authorized share capital allows the proposed enhanced share capital           b. Boad of Directors of the company has approved the increase in capital         c. Relevant Regulatory approvis have been obtained           d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements         d. There is no unreasonable delay in suc of shares against advance and all regulatory requirements           d. Autor is satirized that poch up complex and included in the financial statements         included in the financial statements           e. Autor is satirized to any which fulfil the conditions specified by SECP are allowed to be deducted:         include statement has be executed against subordinated to any which fulfil the conditions specified by SECP. In this regard, following conditions are specified:         include statement has be executed against subordinated to any which fulfil the conditions specified by SECP.           e. In case of adjvepayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital latement has be submitted to exchange.         include the statement has be used to be capital and revised Liquid Capital latement has be submitted to exchange.           3.1         Concentration in Margin Financing financing financing financing and borowing finances         include to a state again subscent the against advance adva					
a. The existing authorized share capital allows the proposed enhanced share capital       b. Boad of Directors of the company has approved the increase in capital         c. Relevant Regulatory approvals have been obtained       d. Increase in capital       c. Relevant Regulatory approvals have been completed.         e. Audito's satisfied that such downed is against advance and all regulatory requirements relating to the increase in paid up capital have been completed.       increase in paid up capital have been completed.         e. Audito's astisfied that such downed is against the increase of capital.       increase in paid up capital have been completed.         b. Tobse of Subordinated Icans which fulfill the conditions specified by SECP are allowed to be deducted:       increase in capital have been control to the paid and the completed in the instance instanc					
C. Relevant Regulatory approvals have been obtained     d. There is no unreasonable delay in this use of shares against advance and all regulatory requirements     relating to the increase in paid up capital have been completed.     e. Auditor's statified that such advance is against the increase of capital.     w. Other liabilities as per accounting principles and included in the financial statements     introl% of Subordinated Loans     introl% of Subordinated Loans     introl% of Subordinated Loans which fulfill the conditions specified by SECP are allowed to be deducted.     The Schedule III provides that 100% havior and musc leaders which fulfill the     conditions specified by SECP. In this regard, following conditions are specified:     a. Loan agreement must be executed on stamp paper and musc leaders which fulfill the     conditions specified by SECP. In this regard, following conditions are specified:     a. Loan agreement must be executed to natrom paper and musc leader which fulfill the     conditions the substitution of the conditions specified by SECP     is Subordinated loans which do not fulfill the conditions specified by SECP     is analyzed to a natron paper and musc leaders     is approximated to acting the specified by SECP     is subordinated cleant-to-cleant basis by which any amount receivable from any of the     finances exceed 10% of the aggregate of     im amount acquirated cleant-to-cleant basis by which any amount receivable from any of the     finances exceed 10% of the aggregate of     if () Amount deposited of securities pledged as margins exceed the 110% of the market value of shares     borrowed     if the market value of securities less than or equal to the subscription     price: the aggregate of.     if the market value of securities is greater than the subscription     price: the aggregate of.     if the case of right issue: the market price of the securities.     in the case of right issue which exceed the market price of the securities.     in the case of right issue		a. The existing authorized share capital allows the proposed enhanced share capital			
d. There is no urreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. <ul> <li>Auditor is satisfied that such advance is against the increase of capital.</li> <li>i. UW offer liabilities are praceounting principles and included in the financial statements</li> <li>i. Subordinated Loans</li> <li>i. OW of subordinated loans which fulfil the conditions specified gradient and the subordinated Loans which fulfil the conditions specified by SECP. In this regard, following conditions are specified:</li></ul>					
relating to the increase in paid up capital have been completed.					
iv. Other liabilities are accounting principles and included in the financial statements       Image: Control of the conditions specified by SECP are allowed to be deducted:         1.100% of Subordinated loans which fulfill the conditions specified by SECP in this regard, following conditions are specified:       Image: Conditions specified by SECP in this regard, following conditions are specified:         a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period       Image: Conditions and statement shall be made to the Liquid Capital and revised Liquid Capital statement must be executed to exchange.         ii. Subordinated loans which do not fulfill the conditions specified by SECP       Image: Concentration in Margin Financing         2.5       Total Liabilities Relating to :       8,618,217       0       8,618,217         3.1       Concentration in Margin Financing       Image: Concentration in Margin Financing       Image: Concentration in Margin Financing         The amount calculated cleant-to- client basis by which any amount receivable from any of the finances exceed the % of the aggregate of amounts (seclvable from total finances.)       Image: Concentration in securities lending and borrowing       Image: Concentration in the aggregate of amounts (securities is less than or equal to the subscription finances.)       Image: Concentration in the aggregate of finance securities is less than or equal to the		relating to the increase in paid up capital have been completed.			
2.4       Subordinated Loans		e. Auditor is satisfied that such advance is against the increase of capital.			
200% of Subscriptionated bans which fulfill the conditions specified by SECP are allowed to be deducted:         In 100% of Subscription 100% hairs and the allowed against subscription are specified.           a ratio agreement with the init is segard, following conditions are specified.         a ratio agreement with the init is segard, following conditions are specified.           a ratio agreement with the init is segard, following conditions are specified.         a ratio agreement with the init is segard, following conditions are specified.           a ratio agreement with the init is segard with the conditions are specified.         a ratio agreement with the init is subscription which is resparable within next 12 months.           c. In case of early repayment of loan adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be subscription within its resparable within next 12 months.         a Rating Liabilities Relating to :           2.5         Total Liabilities Relating to :         a Rating Liabilities Relating to :         a Rating Liabilities Relating to :           3.1         Concentration in Margin Financing         in the amount acculated client-to- client basis by which any amount receivable from any of the finances.         in amount by which the aggregate of amounts receivable from total finances.           3.2         Concentration in Securities lending and borrowing.         in the amount by which the aggregate of:           (i) A mount deposited by the borrower with NCCPL         (i) Amount deposited by the borrower with NCCPL         iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii	24				
3       Ranking Liabilities Relating to :       0.0000         3.1       Concentration in Margin Financing       0.0000         The amount calculated client-to- client basis by which any amount receivable from notal financees       0.0000         3.2       Concentration in securities lending and borrowing       0.0000         The amount by which the aggregate of:       0.0000         (1) Amount deposited by the borrower with NCCPL       0.0000         (1) Cash margins paid and       0.0000         (10) In market value of securities pledged as margins exceed the 110% of the market value of shares       0.00000         borrowed       0.00000       0.00000         3.3       Net underwriting Commitments       0.00000         (a) In the case of right issuse : if the market value of securities is less than or equal to the subscription price; the aggregate of:       0.00000000000000000000000000000000000		The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
3       Ranking Liabilities Relating to :       0.0000         3.1       Concentration in Margin Financing       0.0000         The amount calculated client-to- client basis by which any amount receivable from notal financees       0.0000         3.2       Concentration in securities lending and borrowing       0.0000         The amount by which the aggregate of:       0.0000         (1) Amount deposited by the borrower with NCCPL       0.0000         (1) Cash margins paid and       0.0000         (10) In market value of securities pledged as margins exceed the 110% of the market value of shares       0.00000         borrowed       0.00000       0.00000         3.3       Net underwriting Commitments       0.00000         (a) In the case of right issuse : if the market value of securities is less than or equal to the subscription price; the aggregate of:       0.00000000000000000000000000000000000	2.5	Total Liphilitor	8 618 217	0	8 618 217
3.1       Concentration in Margin Financing         The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.			0,010,217		0,010,211
The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. <ul> <li>3.2</li> <li>Concentration in securities lending and borrowing</li> <li>The amount by which the aggregate of:</li></ul>	3.1				
3.2       Concentration in securites lending and borrowing         The amount by which the aggregate of:       (i) Amount deposited by the borrower with NCCPL         (ii) Cash margins paid and       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed         3.3       Net underwriting Commitments          (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price: the aggregate of:          (ii) the value by which the underwriting commitments and       (ii) the value by which the underwriting commitments exceeds the market price of the securities.          (ii) the value by which the underwriting commitments exceeds the market price of the securities.           (b) in any other case : 12.5% of the net underwriting commitments            3.4       Negative equity of subsidiary            wecced the total lassets of the subsidiary             3.5       Foreign exchange agreements and foreign currency positions             3.6       Amount Payable under REPO               (ii) the solue date of inforeign currency less total liabilities denominated in foreign currency		The amount calculated client-to- client basis by which any amount receivable from any of the			
The amount by which the aggregate of:       (i) Amount deposited by the borrower with NCCPL         (ii) Cash margins paid and       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares         borrowed       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares         borrowed       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares         borrowed       (a) In the case of right issue : if the market value of securites is less than or equal to the subscription price; the aggregate of:         (i) the 50% of Haircut multiplied by the underwriting commitments and       (i)         (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments         3.4       Negative equity of subsidiary         (b) in any other case: 12.5% of the net underwriting commitments       (a)         3.5       Foreign exchange agreements and foreign currency positions         5% of the net total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities denominated in foreign currency less total liabilities denominated in foreign currency less total liabilities denominated in foreign currency         3.5       Foreign exchange agreements and foreign currency means the difference of total assets denominated in foreign currency less total liab	2.2				
(i) Amount deposited by the borrower with NCCPL         (ii) Cash margins paid and         (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed         3.3       Net underwriting Commitments         (a) in the case of right issue: if the market value of securities is less than or equal to the subscription price; the aggregate of:         (i) the 50% of Haircut multiplied by the underwriting commitments and         (ii) the value by which the underwriting commitments exceeds the market price of the securities.         In the case of right issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments         (b) in any other case: 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary         The amount by which the total labilities of the subsidiary (excluding any amount due from the subsidiary)         exceed the total liabilities of the subsidiary         sceed the total liabilities of the subsidiary         3.5         Foreign exchange agreements and foreign currency positions         5% of the net position in foreign currency less total liabilities denominated in foreign currency         assets denominated in foreign currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO	3.2				
(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed		(i) Amount deposited by the borrower with NCCPL			
borrowed       1.1.5.5.5.5.5.5.5.5.5.5.5.5.5.5.5.5.5.5					
3.4       Negative equity of subsidiary         b) ne amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)         2.5       Foreign exchange agreements and foreign currency positions         3.6       Amount Payable under REPO					
price; the aggregate of:       (i) the 50% of Haircut multiplied by the underwriting commitments and       (ii) the 50% of Haircut multiplied by the underwriting commitments exceeds the market price of the securities.         In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments       Image: Commitment is commitment is commitment is commitment is commitment is commitment in the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments         3.4       Negative equity of subsidiary       Image: Commitment is commitment in the and the subsidiary         3.4       Negative equity of subsidiary       Image: Commitment is commitment in the subsidiary       Image: Commitment is commitment	3.3				
(i) the 50% of Haircut multiplied by the underwriting commitments and       (ii) the value by which the underwriting commitments exceeds the market price of the securities.         In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments       (b) in any other case : 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary       (a) in any other case : 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary       (a) in any other case : 12.5% of the subsidiary (excluding any amount due from the subsidiary)         asseed the total liabilities of the subsidiary       (a) in any other case : 12.5% of the net underwriting commitments         3.5       Foreign exchange agreements and foreign currency positions       (a) in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO       (a) in foreign currency					
(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting         (b) in any other case : 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary         3.5       Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO					
the Haircut multiplied by the net underwriting       in any other case : 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary       in any other case : 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary       in any other case : 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary       in any other case : 12.5% of the net underwriting commitments         3.5       Foreign exchange agreements and foreign currency positions       in any other case : 12.5% of the net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO		(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
(b) in any other case : 12.5% of the net underwriting commitments					
3.4       Negative equity of subsidiary         The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)         exceed the total liabilities of the subsidiary         3.5       Foreign exchange agreements and foreign currency positions         5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO		the Halicul multiplied by the net underwriting commitments			
The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)       Image: Constraint of the subsidiary         3.5       Foreign exchange agreements and foreign currency positions       Image: Constraint of the subsidiary         3.6       Amount Payable under REPO       Image: Constraint of the subsidiary	3.4				
3.5       Foreign exchange agreements and foreign currency positions         5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO		The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       3.6         3.6       Amount Payable under REPO	2 5				
assets denominated in foreign cuurency less total liabilities denominated in foreign currency       3.6       Amount Payable under REPO	3.5				
3.6 Amount Payable under REPÖ		assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.7 Kepo adjustment		Amount Payable under REPO			
	5./	repo aajustment			

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **30-NOV-17** 

of Mk. Dosslani's Securities (Pvt) Ltd

Submission Date 15-DEC-2017 17:08:09

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	1,549,017	1,549,017
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	1,549,017	1,549,017
3.12	Liquid Capital	67,180,938	48,418,406	30,645,798