Liquid Capital Statement

for the month of 30-APR-21

of M/s. Dosslani's Securities (Pvt) Ltd

Submission Date 17-MAY-2021 12:23:17

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	4,947,742	4,947,742	
1.2	Intangible Assets			
1.3	Investment in Govt. Securities			
1.4	Investment in Debt. Securities If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years. If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years. Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	14,842,392	2,226,359	12,616,033
	respective securities whichever is higher.	40,000,440	40,000,440	
	ii. If unlisted, 100% of carrying value. iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money	10,302,410	10,302,410	
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.0	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	2 000 000	2 000 000	
1.8	or any other entity.	2,900,000	2,900,000	
1.9	Margin deposits with exchange and clearing house.	500,000	0	500,000
1.10 1.11	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments			
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)			
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables	800,661	800,661	
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	4,286,725	0	4,286,725
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	9,445,995	6,254,879	6,254,879
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1 10	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances i. Bank Balance-proprietory accounts	123,245	0	123,245
	ii. Bank balance-customer accounts	8,137,987	0	8,137,987
	iii. Cash in hand	27,134	0	27,134

Liquid Capital Statement

for the month of 30-APR-21

of M/s. Dosslani's Securities (Pvt) Ltd

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2 Liabilities 1 Trade Payables 1 Payable to exchanges and clearing house 1 Payable to clear the payable to exchanges 1 Payable to clear the payable to exchanges 1 Payable to exchanges 2 Payable to exchange and payable within next 12 months 2 Payable to exchange and payable within next 12 months 2 Payable to exchange and payable within next 12 months 2 Payable to exchange and payable within next 12 months 2 Payable to exchange and payable within next 12 months 2 Payable to ex	s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
Projects pagents (excessing public to exchange and cleaning house)	1.19	Total Assets	56,314,291	27,432,051	31,946,003
Payable to exchange and cleaning house	2	Liabilities			
Payable against leveraged market products 11,848,905 0 11,848,905 0 11,848,905 0 11,848,905 0 11,848,905 0 11,848,905 0 11,848,905 0 11,848,905 0 128,019 0 12	2.1				
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Current Liabilities			11 848 905	0	11 848 905
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S. Other liabilities as per accounting principles and included in the financial statements			2,358,958	0	2,358,958
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iii. Advance against shares for increase in Capital of Socutities broker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authoritized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unescanosible delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed: e. Author is salided that such advance of sagainst the increase of capital e. Author is salided that such advance is against the increase of capital e. Author is salided that such advance is against the increase of capital e. Author is salided that such advance is against the increase of capital e. Author is salided that such advance is against the increase of capital e. Author is salided as a such advance in the financial statements 5. Subordinated Loans 1. 1006 or Subordinated loans which full the conditions perified by SECP are allowed to be deducted: the Schedule II provides that 100% haircut will be allowed against subordinated class which fullfill the conditions specified by SECP in this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting petiod b. No haircut will be allowed against short term portion which is repayable within ext 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP 2.5 Total Liabilities 14,335,882 0 14,335,8					
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3.6 Amount Payable under REPO		assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.7 Repo adjustment		Amount Payable under REPO			
	3.7	Repo adjustment			

Liquid Capital Statement

for the month of 30-APR-21

of M/s. Dosslani's Securities (Pvt) Ltd

Submission Date 17-MAY-2021 12:23:17

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financeekeller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.	0	1,150,699	1,150,699
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selli positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11 3.12	Total Ranking Liabilites Liquid Capital	0 41,978,409	1,150,699 26,281,352	