Liquid Capital Statement

for the month of **31-OCT-21**

of M/s. Dosslani's Securities (Pvt) Ltd

Submission Date 15-NOV-2021 11:37:27

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	Assets	4.047.740	4 0 47 7 40	
	Property & Equipment	4,947,742	4,947,742	
	Intangible Assets			
	Investment in Govt. Securities			
	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year. ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
	Investment in Equity Securities i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	39,792,089	5,968,813	33,823,276
	respective securities whichever is higher.	39,792,069	5,900,013	33,623,276
	ii. If unlisted, 100% of carrying value.	10,302,410	10,302,410	
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
_	Investment in subsidiaries Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value.			
	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	2,900,000	2,900,000	
	or any other entity. Margin deposits with exchange and clearing house.	50,000	0	50,000
	Deposit with authorized intermediary against borrowed securities under SLB.	50,000	U	50,000
	Other deposits and prepayments			
	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables	3.060.848	3,060,848	
	Receivables from clearing house or securities exchange(s)	0,000,010	0,000,010	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	2,016,796	0	2,016,796
	iv. Balance sheet value	1001000	0.044.=04	0.044.50
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	4,604,288	2,214,564	2,214,564
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	1,016,306	0	
	ii. Bank balance-customer accounts iii. Cash in hand	9,703,201 26,521	0	
	iii. Oddinin nand	20,321	0	20,321

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for the month of **31-OCT-21**

of M/s. Dosslani's Securities (Pvt) Ltd

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Total Ascels					
2.1 Index (Payables 1.5 Payables to exchanges and clearing house 1.5 Payable to exchanges and clearing house 1.5 Payable to exchange and releasing house 1.5 Payable to exchange 1.5 Payable t	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
2 Total Psystoles	1.19	Total Assets	78,420,201	29,394,377	48,850,664
Engage against leverage and clearing house					
Layapate to exchanges and clearing posses	2.1	Trade Payables			
iii Poystate to coatomes		i. Payable to exchanges and clearing house			
Current Liabilities			44.044.404		44.044.404
Statutory and equalitory duries 32,008 0 32,008	2.2		11,014,404	0	11,014,404
II. Accrusis and other payables. 32,000 0 32,000 1	2.2				
b. Current portion of subcodinated baars y. Current postion of long term faibilities y. Disformed Labellities y. Disformed Labellities will Provision for Standing Long-Term financing Long-Term			32,003	0	32,003
v. Curent portion of long term liabilities vii. Providen for to did debts vii. Other classifies as per accounting principles and included in the financial statements vii. One current Liabilities vii. Long - ferm financing a. Long - ferm financing a. Long - ferm financing vii. Long - ferm financing vii. Long - ferm financing vii. Long - ferm financing viii. Vii. Vii. Vii. Vii. Vii. Vii. Vii.					
vi. Deferred Liabilities vi. Provision for bad debts vi. Provision for bad debts vi. Provision for baseline vi. Control Liabilities vi. Control Liabilities vi. Provision for baseline vi. Control Liabilities vi. Provision for baseline vi. Vi. Provision for baseline vi. Vi. Provision for baseline vi. Vi. Vi. Provision for baseline vi. Vi. Provision vi. Vi. Vi. Provision vi. V					
will. Provision for baselines as per accounting principles and included in the financial statements 2		vi. Deferred Liabilities			
S. Other labilities as per accounting principles and included in the financial statements		vii. Provision for bad debts	2,358,958	0	2,358,958
Non-Current Liabilities Long-Term financing obtained florm financial institution; Long learn portion of financing obtained florm a financial institution including amount due against finance lease D. Other long-term financing					
Li Long-Term financing a Long-Term financing a Long-Term financing obtained from financial institution. Long term potion of financing obtained from a financial Institution including amount due against finance lease b. Other found-term financing ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares for Increase in Capital c. Relevant Regulatory approvable have been obtained d. There is no unreasonable deby in Issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. d. Auditor is stafficed that such advance is against the increase of capital v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and included in the financial statements v. Other liabilities as per accounting principles and the statements and statements and st	2.3				
a Long-term financing obtained from financial institution including amount use against financie lease b. Other long-term financing l. Suff returned to the long-term financing l. But the long-term financi	2.0				
ii. Staff retirement benefits iii. Advance against shares for increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Discretors of the company has approved the increase in capital c. Reteavant Regulatory approvals have been obtained relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance and siderance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. w. Other liabilities as per accounting principles and included in the financial statements 2.4 Subordinated clans which furfill the conditions specified by SECP are allowed to be deducted: the Schedule ili provides that 100% haircut will be allowed against subordinated Loans which furfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haricut will be allowed against subordinated Loans which furfill the conditions specified by SECP in this regard, following conditions are specified. 2.5 Total Liabilities will be submitted to exchange. ii Subordinated loans which do not fulfill the conditions specified by SECP. 2.5 Total Liabilities Relating to: 2.5 Total Liabilities Relating to: 2.6 Total Liabilities Relating to: 3.7 Concentration in Margin Financing The amount calculated client-to-client basis by which any amount receivable from any of the finances exceed the Margin Financing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margin spala and (iii) In market value of securities pledged as margins exceed the market police of the securities of the subscription price; the aggregate of: (i) The Cash aggin page and the u		a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
iii. Advance against shares for increase in Capital of Securities toxice: 100% haiccut may be allowed in tespect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in its use of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. iv. Other Illustillities as per secondimical in against all evidence cogital iv. Other Illustillities as per secondimical in against the increase (capital iv. Other Illustillities) are precounting principles and included in the financial statements Subordinated Loans 1.10% of Subradinated Illusive specified by SECP we allowed to be deducted: The Schedule Ill provides that 10% haircut will be allowed against subordinated Loans which full the conditions specified by SECP will be allowed against subordinated Loans which full the conditions specified by SECP will be allowed against short term portion which is repayable within next 12 months or people of the conditions specified by SECP will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. Illustration of the submitted to exchange. Illustration of the submitted to exchange. Illustration of the submitted of the submitted to exchange. Illustration of the submitted of the submitted to exchange. Illustration of the submitted of the submitted of the submitted of exchange. Illustration of the submitted of the su		b. Other long-term financing			
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3.6 Amount Payable under REPO					
		Amount Payable under REPO			
3.7 Repo adjustment	3.7	Repo adjustment			

Liquid Capital Statement

for the month of **31-OCT-21**

of M& Dosslani's Securities (Pvt) Ltd

Submission Date 15-NOV-2021 11:37:27

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s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financeekeller the market value of underlying securities after applying haircut less the			
	total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions	_		
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	3,691,087	3,691,087
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	3,691,087	3,691,087
3.12	Liquid Capital	65,014,836	25,703,290	31,754,212